



**Andy Stockett**

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Bachelor of Arts, Economics  
Vanderbilt University

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Andy has a background combining Capital Markets, Corporate Finance and CFO Operating experience.

For nine years he was a Senior Vice President and Department Head for a national investment banking and securities firm. Reporting directly to the Chairman, Andy was one of six members of the firm's Capital Markets Commitment Committee, and as Syndicate Manager was responsible for the pricing, distribution and aftermarket trading of IPO's, follow on financings and debt offerings. He was also responsible for cultivating and managing relationships with major Wall Street investment banks and regional firms. During this time he served a term as Chairman of the Southern States Securities Industry Association, sat on SIA committees relating to Governmental Affairs and Syndicate Affairs, and was a member of the Investment Association of New York.

As a partner in a Financial Advisory firm, Andy structured and funded direct investments in several private companies and acted as a consultant in helping a company complete an IPO. His operating experience comes from joining a \$7 million company as CFO and growing it to over \$90 million in sales. During this time he completed a \$50 million acquisition, a stock buy back, sale/leaseback transactions, and a \$60 million recapitalization. These transactions involved negotiating with Private Equity Groups, Mezzanine Lenders, Senior Lenders and existing shareholders. His operating responsibilities included implementing and managing the company functions relating to accounting, finance, human resources, information technology, risk management and purchasing.

He has a Bachelor of Arts degree in Economics from Vanderbilt University and a Master of Business Administration from the University of Chicago Graduate School of Business.